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PTO/SB/21 (08-03)

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# **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

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Application Number	10/600,747
Filing Date	June 20, 2003
First Named Inventor	Michelle D. Martin
Art Unit	N/A
Examiner Name	Not Yet Assigned
Attorney Docket Number	HO-P02483US1

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Fee Transr	nittal Form	Drawing(s)	After Allowance Communication to Group			
Fee	Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences			
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After	Final	Petition to Convert to a Provisional Application	Proprietary Information			
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	SIGNATI	JRE OF APPLICANT, ATTORNEY, OF	RAGENT			
Firm or Individual name	FULBRIGHT & JAW Jila Bakker	ORSKI L.L.P.				
Signature	ature Juli					
Date	March 26, 2004					

<b>Transmitt</b>	al
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I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. ER 509326581 US, in an envelope addressed to: Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: March 26, 2004

Signature: (Annette Devereux)

MAR 2 6 2004 Characteristics and the content of the

Docket No.: HO-P02483US1 (PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Michelle D. Martin et al.

Application No.: 10/600,747

Filed: June 20, 2003

For: MTA1 IS A PREDICTIVE AND

PROGNOSTIC FACTOR IN HUMAN

**BREAST CANCER** 

Confirmation No.:

Art Unit: N/A

Examiner: Not Yet Assigned

### **INFORMATION DISCLOSURE STATEMENT (IDS)**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

A copy of each reference on the PTO/SB/08 is attached.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

Application No.: 10/600,747 Docket No.: HO-P02483US1

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 06-2375, under Order No. HO-P02483US1. A duplicate copy of this paper is enclosed.

Dated: March 26, 2004

Respectfully submitted,

Jila Bakker

Registration No.: 53,962

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Substitute for form 1449A/PTO

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Sheet

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

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Complete if Known					
Application Number	10/600,747				
Filing Date	June 20, 2003				
First Named Inventor	Michelle D. Martin				
Art Unit	N/A				
Examiner Name	Not Yet Assigned				
Attorney Docket Number	HO-P02483US1				

1	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	AA	US-20030027778	02-06-2003	Fuqua et al.			

	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>®</sup>

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See attached Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	CA	Mahoney et al, "Metastasis-associated protein (MTA)1 enhances migration, invasion, and anchorage-independent survival of immortalized human keratinocytes," Oncogene Vol. 21, 2000, pp. 2161-2170.	
	СВ	O'Connell et al, "Loss of Heterozygosity at D14S62 and Metastatic Potential of Breast Cancer," Journal of the National Cancer Institute Vol. 91, No. 16, August 18, 1999, pp. 1391-1397.	
	CC	O'Connell et al, "Analysis of Loss of Heterozygosity in 399 Premalignant Breast Lesions at 15 Genetic Loci," Journal of the National Cancer Institute Vol. 90 No. 9, May 6, 1998, pp. 697-703.	
	CD	Mazumdar et al, "Transcriptional repression of oestrogen receptor by metastasis-associated protein 1 copressor," Nature Cell Biology Vol. 3, January 2001, pp. 30-37.	
	CE	Allred et al, "Immunohistochemical Studies of early breast cancer evolution," Breast Cancer Research and Treatment Vol. 32, 1994, pp. 13-18.	
	CF	O'Connell et al, "Molecular Genetic Studies of Early Breast Cancer Evolution," Breast Cancer Research and Treatment Vol. 32; 1994, pp. 5-12.	
	CG	Nawa et al, "Tumor Metastasis-Associated Human MTA1 Gene: Its Deduced Protein Sequence, Localization, and Association with Breast Cancer Cell Proliferation Using Antisense Phosphorothioate Oligonucleotides," Journal of Cellular Biochemistry Vol. 79, 2000, pp. 202-212.	
	СН	Nagase et al, "Predicition of the Coding Sequences of Unidentified Human Genes. XV. The Complete Sequences of 100 New cDNA Clones from Brain Which Code for Large Proteins in vitro," DNA Research Vol. 6, 1999; pp. 337-345.	
	CI	Pencil et al, "Candidate Metastasis-associated Genes of the Rat 13762NF Mammary Adenocarcinoma," Breast Cancer Research and Treatment Vol. 25; 1993, pp. 165-174.	
	CJ	Allred et al, "Biomarkers in Early Breast Neoplasia," Journal of Cellular Biochemistry 17G; 1993; pp. 125-131.	
	СК	Martin et al, "Loss of Heterozygosity Events Impeding Breast Cancer Metastasis Contain the MTA1 Gene," Cancer Research Vol. 61; May 1, 2001; pp. 3578-3580.	
	CL	Toh et al, "A Novel Candidate Metastasis-associated Gene, mta1, Differentially Expressed in	<u> </u>

Examiner	Date
Signature	Considered

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				Application Number	10/600,747	
INFORMATION DISCLOSURE				Filing Date	June 20, 2003	
S	STATEMENT BY APPLICANT  (use as many sheets as necessary)			First Named Inventor	Michelle D. Martin	
				Art Unit	N/A	
				Examiner Name	Not Yet Assigned	
Sheet	2	of	2	Attorney Docket Number	HO-P02483US1	

Highly Metastatic Mammary Adenocarcinoma Cell Lines," The Journal of Biological Chemistry	
Vol. 269, No. 37; September 16, 1994, pp. 22958-22963.	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Signature	Considered	

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.



Application No. (if known): 10/600,747

Attorney Docket No.: HO-P02483US1

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Annette Devereux

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